

ACCOUNT OPENING FORM

Account Holder	<input type="text"/>		
Client Code	<input type="text"/>		
BO ID No.	<input type="text" value="1"/> <input type="text" value="2"/> <input type="text" value="0"/> <input type="text" value="2"/> <input type="text" value="2"/> <input type="text" value="2"/> <input type="text" value="0"/> <input type="text" value="0"/>	<input type="text"/>	<input type="text"/>
Address	<input type="text"/>		
Joint A/C Holder	<input type="text"/>		
Phone	<input type="text"/>	Mobile	<input type="text"/>
Fax	<input type="text"/>	E-mail	<input type="text"/>

REQUIRED DOCUMENTS FOR OPENING CUSTOMER AND BO ACCOUNT

Individual Account: Single & Joint

- 3 Copies recent passport size photograph of account holder(s) attested by the introducer
- 2 Copies recent passport size photograph of nominee duly attested by the account holder
- If Nominee is minor, 1 Copy recent passport size photograph of the guardian attested by the account holder and photocopy of birth certificate of the nominee
- 2 Copies recent passport size photograph of the authorized person and PDA attested by the account holder
- Bank Statement for last 1 month/Bank certificate of both single & joint account holders
- Photocopy of National ID card or valid Passport for single & joint account holder, Nominee and authorized person

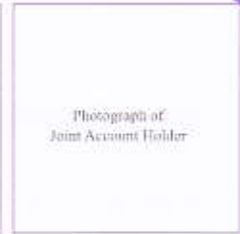
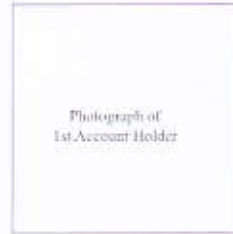
In case of NRB & Foreign Nationals following documents are mandatory along with the above documents

- 3 Copies recent passport size photograph of account holder(s) attested by the introducer
- Photocopy of Passport (with valid visa) for Account Holder & Joint Account Holder, Nominee and Authorized Person
- Foreign Currency (FC) Bank account certificate containing name of the applicant, name of father & mother and Bank account number
- Photocopy of service agreement with the employer/work permit/residence permit/business documents
- 1 Copy recent passport size photograph of the Nominee(s), if any (attested by account holder)
- Tax clearance certificate (in case of foreign Nationals)

Institutional Account: Public/Limited companies, Partnership/Proprietorship Firms, Trust and Fund Account

- Duly attested Photocopy of Memorandum & Articles of Association certified by RJSC
- Duly attested Photocopy of Certificate of Incorporation
- Trade License
- TIN Certificate
- Board Resolution regarding opening of the account and its operation
- 2 Copies recent passport size photograph of the CEO/Managing Director, attested by the Chairman
- Duly attested Photocopy of National ID card or valid Passport of the CEO/Managing Director

Note : The account opening form should be filled in **BLOCK LETTERS**



Date:

Customer Accounts No.

Account Type: Cash Margin Special Remarks:

Name of the Account Holder:

Father's Name:

Mother's Name:

Spouse's Name:

Date of Birth: Sex: Male Female

Occupation: Nationality:

Present Address:

Phone No: Cell:

E-mail: Fax:

Permanent Address:

National ID No.:

Passport No.: Issue Date: Expiry Date:

ACCOUNT OPENING INFORMATION FORM

BO ID No.

NRB: Yes No Status: Individual Joint

Joint Account Holder's Name:

Father's Name:

Mother's Name:

Spouse's Name:

Date of Birth: Sex: Male Female

Occupation: Nationality:

Present Address:

Phone No: Cell:

E-mail: Fax:

Permanent Address:

National ID No.:

Passport No.: Issue Date: Expiry Date:

In case of Company: Registration No: Date of Registration

Name and contact details of the Authorized Person (if any) of the A/C Holder to buy/sell order, receive confirmation notes, cheque with Meghna Bank Securities Limited

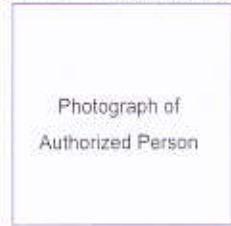
Name of Authorized Person:

Father's/Spouse's Name:

Date of Birth: Sex: Male Female Nationality:

Address:

Phone No: Fax: E-mail:



Nominee Details

	Nominee 1	Nominee 2
Name and Address		
Date of Birth		
National ID No.		
Relation		
Percentage%		



Whether the Account Holder or Joint Account Holder is an Officer or Director of any Stock Exchange/Listed Company? Yes No

If yes, Name & Address of the Stock Exchange/ Listed Company

Special Instruction, if any:

In case of joint Account, the Account will be operated Jointly Anyone can operate

Name & Address of the Person Introducing the Account Holder: BO ID No:

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

TERMS AND CONDITIONS FOR OPENING A BROKERAGE CUSTOMER ACCOUNT

DECLARATION

I/we the undersigned whose information is given in the Customer Account Opening information Form hereby request to be registered as a client of Meghna Bank Securities Ltd. and to open a brokerage customer account in my/our/company name. I/we further agree and confirm that the account hereby requested to be opened by me/us shall be held and governed by the terms and conditions of this agreement as provided herein after and as may be modified from time to time by Meghna Bank Securities Ltd. (MGBSL).

TERMS AND CONDITIONS

Instructions: A written instruction shall be given to MGBSL from time to time to purchase and/or sell Securities (which shall mean and include shares, bonds, debentures, mutual funds etc.) on behalf of the account holder. On receipt of such instructions along with a cash deposit or delivery of securities, MGBSL shall, so far as MGBSL considers it reasonably practicable, purchase and/or sell Securities in accordance with those instructions, provided always that (i) any such dealings do not contravene any applicable laws or regulations, (ii) MGBSL shall have an absolute discretion to accept or reject purchase/sell instructions and (iii) account holder's instruction shall include the following details:

- The name of the Securities
- Quantity
- Price with notification of limit or discretion
- The duration of the order
- The nature of the lot (i.e. scrip size) for sale or the desired format for purchase order (See Note)
- The order should specify completion formats of :
 - All or partial fill with minimum trade value or number of shares
 - Mode of execution i.e. DVP (delivery versus payment)/NON DVP (non delivery versus payment)

(Note: The number of shares constituting a "market lot" may change. Sellers must include the exact format of their holding. This has a direct impact on market pricing given the predominantly retail nature of the market. Trades may fail where non-agreed lot sizes are delivered.)

Joint Accounts: If this is a joint account, unless the account holders notify Meghna Bank Securities Ltd. otherwise and provide such documentation, as Meghna Bank Securities Ltd. may require, the brokerage customer accounts(s) shall be held by the account holders jointly with rights of survivor ship (payable to either or the survivor). Under these terms and conditions each joint account holder irrevocably appoints the other as attorney in fact to take all action on his or her behalf and to represent him or her in all respects in connection with this agreement. Meghna Bank Securities Ltd. shall be fully protected in acting but shall not be required to act upon the instruction of either of the account holder, who shall be liable, jointly or severally, for any amounts due to Meghna Bank Securities Ltd. pursuant to this agreement, whether incurred by either or both of the account holders. Unless and otherwise it is mentioned-in case of joint account both the account holder will be treated as equal partner and in case of death and any other accident the account balance will be divided equally to the joint account holders or their respective nominees if any.

Jumbo and Market Lots: When an account holder wishes to sell stock, he/she must inform Meghna Bank Securities Ltd. as to the format of the lot being sold, for example 5000 shares in 10's and 2000 in 5's (Jumbo lots are difficult to split with the company and so face a considerable discount to market price)

Risk: The account holder understand(s) that the stock market is a rapidly changing market and that there is an inherent risk in incurring loss in share dealings.

Limit Orders: While Meghna Bank Securities Ltd. will endeavour to purchase or sell the Securities within the limits of the prices that may be notified by the account holder. Meghna Bank Securities Ltd. does not guarantee or assure that the transactions will be materialized with on such notified limits. Meghna Bank Securities Ltd. will always endeavour to obtain the best price.

Dhaka & Chittagong Stock Exchange Rules: All transactions duly concluded through and recognized by the Dhaka Stock Exchange Limited (hereafter DSE) and Chittagong Stock Exchange Limited (hereafter CSE) are governed by the respective Rules of CSE & DSE relating to trading and settlement in particular and will be binding on both the parties concerned.

Payment and Disclosure: Meghna Bank Securities Ltd. shall not be obliged to make any payment on behalf of the account holder. Meghna Bank Securities Ltd. may disclose information regarding the account holder or his/her dealings in relation to this agreement to any department of any government or public body upon request, whether or not such request is in fact legally enforceable, and Meghna Bank Securities Ltd. will not be liable in any way to account holder for so doing.

Cancellation Provisions: Meghna Bank Securities Ltd. is authorized, in its discretion, should the undersigned die or should Meghna Bank Securities Ltd. for any reason whatever deems it necessary for its protection, without notice, to cancel any outstanding orders in order to close out the accounts of the account holder, in whole or in part, or to close out any commitment made on behalf of the account holder.

Indemnity: In the event of a default, or act committed by Meghna Bank Securities Ltd. as a broker/TREC Holder of the DSE & CSE, the account holder shall be indemnified if and only as provided by the Rules and Regulations of the DSE & CSE.

Confirmations and Statements: Meghna Bank Securities Ltd. shall use its best endeavours to provide the account holder with (a) written confirmation of each transaction it has effected on instructions; and (b) contract notes (in such form as Meghna Bank Securities Ltd. shall determine) setting forth (i) details of the trade date, value date, settlement date, quantity, price, commission rate and DSE Howla number or CSE equivalent provided also that the account holder does hereby

agree and undertake to confirm in writing beforehand of all our instructions.

Fees and Expenses: The account holder will pay a brokerage commission of (in words) and any other related expenses and charged that may from time to time be applicable. Every transaction concluded through and recognized by the DSE or the CSE is subject to transaction levies or other fees imposed by the DSE & CSE. The account holder understands that the rate of commission may be changed from time to time.

Account Balance: The client shall always maintain a minimum cash balance of Tk. 1000.00 (Taka One Thousand only) in their B0 Account.

Set-off: Meghna Bank Securities Ltd. shall be entitled to, in respect of all communications costs, charges of expenses, set off from any monies from time to time held by Meghna Bank Securities Ltd. for the account holder and if such monies are insufficient for the purpose, to sell any Securities held by Meghna Bank Securities Ltd. or any of its agents on behalf of the account holder.

Termination: This agreement will stand terminated; 7 days prior, written instruction of the Account Holder to Meghna Bank Securities Ltd. terminating this agreement or on the written instruction of Meghna Bank Securities Ltd. to the Account Holder for termination this Agreement. Termination shall not absolve the parties from completing accounts and adjusting any outstanding dues.

Assignment: The benefits/rights and burdens/obligations of this Agreement is capable of assignment by both the account holder and Meghna Bank Securities Ltd. without the consent of the other but the notice of assignment must be given to the other in writing.

Force Majeur: Meghna Bank Securities Ltd. shall not be liable for any loss, damages, expenses, costs or otherwise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading, war, strike, national disaster or any other event or circumstance beyond its control.

Forged Shares: Meghna Bank Securities Ltd. shall not be liable or responsible for any shares that are found to be forged. However, Meghna Bank Securities Ltd. will make every attempt to replace the forged shares from the seller.

Law: The terms and conditions contained herein shall be governed by and be construed in accordance with the laws of Bangladesh.

Notices:

- (a) All notices, instructions or other communications shall be given English and in writing (facsimile, telex, telegram, cable or letter) or orally and shall be sent to Meghna Bank Securities Ltd. and the account holder at the address, fax and/or telex number shown herein or at such other address as may be communicated by the parties hereto in writing.
- (b) Proof of delivery or dispatch shall be :
 - (i) in the case of a letter : dispatch of the letter duly stamped and addressed.
 - (ii) in the case of facsimile, telex, telegram or cable on the day of dispatch with mechanical confirmation.
- (c) All oral notices, instructions or other communications should be confirmed in writing within 24 hours.

Definitions: Words defined herein, save where a contrary meaning appears or such meaning is inconsistent with the context, shall have this same defined meaning wherever used.

Sums due: For all purposes, including any legal proceedings, a certificate by any of Meghna Bank Securities Ltd. officers confirming the monies and/or liabilities for the time being due and/or incurred to by the account holder shall be conclusive evidence thereof against him/her.

I/we have read the terms and conditions contained herein above, and having agreed with such terms and conditions.

I/we put my/our/company seal and signature on this the day of, 201.....

Signature of the Account Holder

Signature of Joint Account Holder

FOR OFFICE USE ONLY

Introduced by: Approved by:

Signature: Signature:

Name: Name:

BO Account Opening Form [By Law 7.3.3 (b)]

Please complete all details in CAPITAL letters. **Please till all names correctly.** All communication shall be sent only to the First Named Account Holder's correspondence address.

Application No.

Date:

Please Tick whichever is applicable

BO Category: Regular Omnibus Clearing BO Type: Individual Company Joint Holder

Name of CDBL Participant (Up to 99 Characters) : Meghna Bank Securities Limited

CBDL Participant ID BO ID Date:

I/we request you to open a Depository Account in my/our name as per the following details:

FIRST APPLICANT

Name in Full of Account Holder (Up to 99 Characters)

Short Name of Account Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr. abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms./Dr.

(In case of a Company/Firm/Statutory Body) Name of Contact Person

In Case of Individual Male Female Occupation (30 Characters)

Father's/Husband's Name:

Mothers Name:

CONTACT DETAILS

Address:

City: Post Code State/Division: Country: Tel:

Mobile Phone: Email:

PASSPORT DETAILS

Passport No.: Issue Place: Issue Date: Expiry Date:

BANK DETAILS

Routing Number: Bank Account Number:

Bank Name: Branch Name: District Name:

Bank Identifier Code (BIC): SWIFT Code: International Bank A/C No. (IBAN):

Electronic Dividend Credit: Yes No Tax Exemption (if any): Yes No TIN/Tax ID:

OTHERS INFORMATION

Residency: Resident Non Resident Nationality: Date of Birth

Statement Cycle Code Daily Weekly Fortnightly Monthly Other (Please Specify)

Internal Ref. No. (To be filled in by CDBL Participant)

In Case of Company:

Registration No.: Date of Registration

JOINT APPLICANT (SECOND ADDOUNT HOLDER)

Name in Full of Account Holder (Up to 99 Characters)

Short Name of Account Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr. abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms./Dr.

ACCOUNT LINK REQUEST

Would you like to create a link to your existing Depository Account? Yes No

If yes, then please provide the, Depository B0 Account Code (8 Digits):

NOMINEES/HEIRS

If Account Holder(s) wish to nominate person(s) who will be entitled to receive securities outstanding in the account in the event of the death of the sole Account Holder/all the Joint Account Holders, a separate nomination Form-23 must be filled up and signed by all Account Holders and the Nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details. If any nominee is a minor, guardian's name, address, relationship with nominee have also to be provided.

POWER OF ATTORNEY (POA)

If Account Holder(s) wish to give a Power of Attorney (POA) to someone to operate the account, a separate Form-20 must be filled up and signed by all Account Holders giving the name, contact details etc. of the POA holder and a POA document lodged with the form.

TO BE FILLED IN BY THE STOCK BROKER/STOCK EXCHANGE IN CASE THE APPLICATION IS FOR OPENING A CLEARING ACCOUNT

Exchange Name: DSE Trading ID CSE Trading ID

PHOTOGRAPH

1st Applicant or Authorized Signatory in case of Limited Co.



2nd Applicant or Authorized Signatory in case of Limited Co.



Authorized Signatory in case of Limited Co.

STANDING INSTRUCTIONS

I/we authorize you to receive facsimile (fax) transfer instructions for delivery. Yes No

DECLARATION

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/we further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of Applicants/Authorized Signatories in case of Ltd Co.	Signature with date
First Applicant		
Second Applicant		
3rd Signatory (Ltd. Co. only)		

SPECIAL INSTRUCTIONS ON OPERATION OF JOINT ACCOUNT

- Either or Survivor Anyone can operate Any two will operate jointly
- Account will be operated by with any one of the others.

INTRODUCTION

Introduction by an existing Account Holder of **MEGHNA BANK SECURITIES LIMITED** Depository Participants Name

I confirm the identity, occupation and address of the applicant(s)

Bo ID 1 2 0 2 2 2 0 0

Authorized Signatory Accepting the Account

TERMS AND CONDITIONS [By Law 7.3.3 (c)]

Meghna Bank Securities Ltd.

Depository Participant No.

Dear Sir(s)

Please open a Depository account (BO Account) in my/our names(s) on the terms and conditions set out below. In consideration of Meghna Bank Securities Ltd (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Operating instructions issued from time to time by CDBL.
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/we instructs the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/we shall be responsible for:
 - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents.
 - (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization.
 - (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization.
 - (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for affecting any transaction/transfer.
 - (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, signature, etc.
 - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form:
 - a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account.
 - b) In the event, the nominee so authorized remains a minor at the time of my/our death, the legal guardian is authorized to receive/draw the securities held in my/our account.
 - c) The nominee so authorized, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account the account may be closed by me/us in one of the following ways:
 - (a) By dematerialization of all existing balances in my/our account.
 - (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s).
 - (c) By dematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other account(s) with any other CDBL Participant(s).

8. CDBL Participant covenants that it shall.

- a) Act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf.
- b) Not affect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
- c) Maintain adequate audit trail of the execution of the instructions of the Account Holder.
- d) Not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
 - (i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
 - (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his/its constituted attorney available on the records of the CDBL Participant;
 - (iii) The balance of clear securities available in the Account Holder's account are sufficient to honour the Account Holder's instructions.
- e) Furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
- f) Promptly attend to all grievances/complaints of the Account Holder and shall resolve all such grievances/complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances/complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.

9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:

- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
- (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
- (c) Commits or participates in any fraud or other act of moral turpitude in his/its dealings with the CDBL Participant;
- (d) Otherwise misconducts himself in any manner.

10. Declaration and Signature

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

Applicants	Name of Applicants/Authorized Signatories in case of Ltd Co.	Signature with date
First Applicant		
Second Applicant		
3rd Signatory (Ltd. Co. only)		

BO Account Nomination Form

Please complete all details in CAPITAL letters. Please fill all names correctly. All communications shall be sent to the correspondence address of only the First Named Account Holder as specified in B0 Account Opening Form-02.

Application No.

Date:

Name of CBDL Participant (Up to 99 Characters) : Meghna Bank Securities Limited

CBDL Participant ID

BO ID

Date:

Name of Account Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr. abbreviate only if over 30 characters)

I/we nominate the following person(s) who is/are entitled to receive securities outstanding in my/our account in the event of the death of the sole holder/all the joint holders.

NOMINEE/HEIRS DETAILS (Nominee 1)

Name in Full

Short Name of Nominee (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr. abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms./Dr.

Relationship with A/C Holder: Percentage (%)

Address:

City: Post Code: State/Division: Country: Tel:

Mobile Phone: Fax: Email:

Passport No.: Issue Place: Issue Date: Expiry Date:

Residency: Resident Non Resident Nationality: Date of Birth

Guardian's Details (if Nominee is a Minor)

Name in Full

Short Name of Nominee (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr. abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms./Dr.

Relationship with Nominee: Date of Birth of Minor Maturity Date of Minor

Address:

City: Post Code: State/Division: Country: Tel:

Mobile Phone: Fax: Email:

Passport No.: Issue Place: Issue Date: Expiry Date:

Residency: Resident Non Resident Nationality: Date of Birth

NOMINEE/HEIRS DETAILS (Nominee 2)

Name in Full

Short Name of Nominee (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr. abbreviate only if over 30 Characters) Title i.e.Mr./Mrs.Ms./Dr.

Relationship with A/C Holder: Percentage (%)

Address:

City: Post Code: State/Division: Country: Tel:

Mobile Phone: Fax: Email:

Passport No.: Issue Place: Issue Date: Expiry Date:

Residency: Resident Non Resident Nationality: Date of Birth

Guardian's Details (if Nominee is a Minor)

Name in Full

Short Name of Nominee (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr. abbreviate only if over 30 Characters) Title i.e.Mr./Mrs.Ms./Dr.

Relationship with Nominee: Date of Birth of Minor Maturity Date of Minor

Address:

City: Post Code: State/Division: Country: Tel:

Mobile Phone: Fax: Email:

Passport No.: Issue Place: Issue Date: Expiry Date:

Residency: Resident Non Resident Nationality: Date of Birth

PHOTOGRAPH OF NOMINEES/HEIRS

Nominee/Heir 1



Nominee/Heir 2



Guardian 1



Guardian 2

Applicants	Full Name	Signature
Nominee/Heir 1		
Guardian 1		
Nominee/Heir 2		
Guardian 2		
First Account Holder		
Second Account Holder		

Power of Attorney (POA) Form

Please complete all details in CAPITAL letters. **Please fill all names correctly.** All communications shall be sent to the correspondence address of only the First Named Account Holder as specified in BO Account Opening Form-02.

Application No. Date:

Name of CDBL Participant (Up to 99 Characters) : **Meghna Bank Securities Limited**

CDBL Participant ID BO ID Date:

Name of Account Holder (Insert full name starting with Title .e. Mr./Mrs./Ms./Dr. abbreviate only if over 30 Characters)

POWER OF ATTORNEY HOLDER'S DETAILS

Name in Full

Short Name of Power of Attorney Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms./Dr. abbreviate only if over 30 Characters) Title i.e. Mr./Mrs./Ms./Dr.

POWER OF ATTORNEY HOLDER'S CONTACT DETAILS

Address:

City: Post Code: State/Division: Country: Tel:

Mobile Phone: Fax: Email:

POWER OF ATTORNEY HOLDER'S PASSPORT DETAILS

Passport No.: Issue Place: Issue Date: Expiry Date:

OTHERS INFORMATION OF POWER OF ATTORNEY HOLDER

Residency: Resident Non Resident Nationality: Date of Birth

Power of Attorney Effective From To

Remarks (insert reference to POA document i.e. Specific POA or General POA etc.):

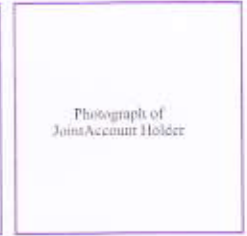
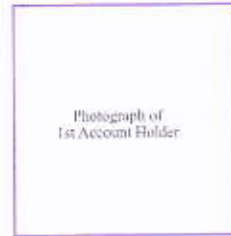
PHOTOGRAPH OF POWER OF ATTORNEY HOLDER

Please paste recent
passport size
Photograph
of POA Holder

DECLARATION

The rules and regulations of the Depository and Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/we further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of Applicants/Authorized Signatories in case of Ltd Co.	Signature with date
POA Holder		
First Applicant		
Second Applicant		
3rd Singatory (Ltd. Co. Only)		



KYC PROFILE

Client Code :

Account Type : Margin Non-Margin

Name of Account Holder

Name of Joint Account Holder

BO ID No. 1 2 0 2 2 2 0 0

Source of Income :

Service: Government Private

(i) Name of the Organization

(ii) Designation

(iii) Monthly Income

(Proof of service i.e. (Salary/Employment Certificate) from the employer MUST be provided)

Business: Public Private

(i) Name of the Organization

(ii) Details of the Business

(Proof of business i.e. TIN number/Trade License MUST be provided)

Others: (Please specify) :.....

Passport No.

Photocopy Obtained Yes No (if applicable)

Voter ID Card No.

Photocopy Obtained Yes No (if applicable)

National ID No.

Photocopy Obtained Yes No (if applicable)

TIN No.

Photocopy Obtained Yes No (if applicable)

VAT Reg. No.

Photocopy Obtained Yes No (if applicable)

Driving License No.

Photocopy Obtained Yes No (if applicable)

In case of non-resident & Foreigner a) Reasons for opening the Account

b) Type of Visa Resident Work Valid upto

Account Holder's Profession/Type of business the company is involved in:

TRANSACTION PROFILE

Account Number **Date:**

Title of Account (First Applicant)

(Second Applicant)

Type of Account INDIVIDUAL ACCOUNT MARGIN NRB
 JOINT ACCOUNT NON-MARGIN

BO ID No. 1 2 0 2 2 2 0 0

Deposit	Particulars	No. of Transactions (Monthly)	Maximum Transaction amount (each Transaction)	Total amount (Monthly)
	Cash Deposit (inclusive of Online Transactions)			
	Transfer/Deposit by Instruments			
	Others (specify).....			
Total Deposit (approx.)				

Withdrawal	Particulars	No. of Transactions (Monthly)	Maximum Transaction amount (each Transaction)	Total amount (Monthly)
	Transfer/payment by Instruments			
	Others (specify).....			
Total Withdrawal (approx.)				

Securities Transaction	Particulars	No. of Transactions (Monthly)	Volume of Transactions (Daily)	Volume of Transactions (Monthly)
	Buy			
	Sell			

Sources of Fund

I/We the undersigned hereby acknowledge that the transactions limit is my/our organization's usual transactions. I/We do hereby acknowledge that if necessary, I/We will change/update the transaction profile.

Signature

Name

Sl No.	Business Nature	Risk Level	Score
1	Jewellery/Gold related Business	High	5
2	Money Changer/Courier Service Agent	High	5
3	Real Estate Agent	High	5
4	Construction Project Promoter	High	5
5	Offshore Corporation	High	5
6	Art/Antique Dealer	High	5
7	Restaurant/Bar/Night Club/Residential Hotel Owner	High	5
8	Import/Export Agent	High	5
9	Cash intensive business (Taka 25 Lacs per month)	High	5
10	Share/Stock Dealer	High	5
11	Manpower Export Business	High	5
12	Operating from multiple locations	High	5
13	Film Production/Distribution Business	High	5
14	Arms Business	High	5
15	Mobile Phone Operator	High	5
16	Traders with turnover of more than 1 crore per annum	High	4
17	Travel Agent	High	4
18	Transport Operator	Medium	3
19	Auto Dealer (Recondition vehicles)	Medium	3
20	Leasing/Financing Company	Medium	3
21	Freight/Shipping/ Cargo Agent	Medium	3

Sl No.	Business Nature	Risk Level	Score
22	Insurance/Brokerage Agency	Medium	3
23	Religious Organization	Medium	3
24	Recreational Firm/Park	Medium	3
25	Motor Parts Business	Medium	3
26	Tobacco/Cigarette Business	Medium	3
27	Auto Primary (New Vehicle)	Low	2
28	Retail Shop Owner	Low	2
29	Business Agent	Low	2
30	Small Business (Turnover below Tk. 50 Lacs perAnnum)	Low	2
31	Self Employed	Low	2
32	Corporate Customer	Low	2
33	Building Material Business	Low	2
34	Computer/Mobile Phone Dealer	Low	2
35	Software Business	Low	1
36	Manufacturer (Excluding Weapons)	Low	1
37	Retired from job	Low	1
38	Senice	Low	0
39	Student	Low	0
40	Housewife	Low	0
41	Farmer	Low	0
42	Others Brokerage House to assign Risk Score based on nature and type	High	5

(For the tables below; the highest amount in the range should be considered. For Example: Tk. 50 Lacs should be considered in the 0-50 range)

Net Worth of Customer:

Amount in Taka	Risk Level	Risk Rating
1-50 Lacs	Low	0
50 Lacs - 2 Crore	Medium	1
Above 2 Crore	High	3

How was Account opened?

How	Risk Level	Risk Rating
By Relationship Manager/Branch	Low	0
Through Direct Sales Agent of Merchant Bank	Medium	1
Through Internet	High	3
Unsolicited/Walk-in	High	3

Expected value of Monthly Transactions as per Customer:

Value of Transactions (Taka in Lacs)	Risk Level	Risk Rating
1-10	Low	0
10 - 50	Medium	1
Above 50	High	3

Expected Number of Monthly Transactions as per Customer:

Number of Transactions	Risk Level	Risk Rating
1-100	Low	0
101 - 250	Medium	1
Above 250	High	3

Expected value of Monthly Cash/ Securities Transactions as per Customer:

Number of Transactions (Taka in Lacs)	Risk Level	Risk Rating
1-10	Low	0
10 - 25	Medium	1
Above 25	High	3

Expected No. of Monthly Cash/ Securities Transactions as per Customer:

Number of Transactions	Risk Level	Risk Rating
0-15	Low	0
15 - 30	Medium	1
Above 30	High	3

Overall Risk Assessment is as follows:

Risk Level	Risk Rating	Due Diligence
Low	Below 14	Selective Papers need to verified
Medium	14 or Above	All Papers need to verified

Comments:

(* Customer may be classified as of High Risk after subjective consideration, even if the overall rating is below 14)

Have Customer's Addresses been verified?

Yes

No

If yes, how was address verified?

.....
.....
.....
.....

Politically Exposed Persons (PEPs): (as per AML Circular no. 14)

a) Has approval been obtained from Senior Management?

Yes

No

b) Source of Wealth:

.....
.....

c) Was any face to face interview held with customer?

Yes

No

<p>Preparer: Account Opening</p> <p>Officer/Relationship Account Opening Officer /Relationship Manager</p> <p>Seal & Signature:</p> <p>.....</p> <p>Name:</p> <p>.....</p> <p>Date:</p> <p>.....</p>	<p>Reviewer:</p> <p>Branch Manager/Head of Operations</p> <p>Seal & Signature:</p> <p>.....</p> <p>Name:</p> <p>.....</p> <p>Date:</p> <p>.....</p>
---	--

d) When was the information related to the Account last reviewed and updated?

.....

Name of Officer performing review and update:

.....

Signature

Date:

BO ACCOUNT OPENING FORM SUPPLEMENT (This Supplement consists of one page)

Customer ID

BO ID No.

Please Complete in **BLOCK LETTERS**

1. Please mention whether the Entity is incorporated/registered in the US or Bangladesh:
.....
2. If the Entity is incorporated/registered in the US, please provide its incorporation/registration number in the US:
.....
3. Please provide the following information for the Entity registered/ incorporated/operating in Bangladesh having any US Person(s) as shareholder(s) of the Entity (Detailed shareholding position must be enclosed)
 - i. Name of the Entity:
 - ii. Date of Incorporation/Registration:
 - iii. Address of the Entity 3
 - iv. Contact Telephone Number of the Entity:
 - v. E-TIN (Electronic Tax identification Number) of the Entity in Bangladesh:
4. Does the Entity have any US Person(s) as Shareholder(s) holding 10% or more ownership in the Entity? Yes III No III
5. If yes, please provide following information
(Please add extra pages if there is insufficient space in the form)
 - i. Name of the US Person(s):
 - ii. Residency Number/Passport Number/Green Card Number:
 - iii. Address in:
 - USA:
 -
 - Bangladesh:
 -
 - iv. A US "in care of" or "hold mail" or PO. Box address, If any:
 - v. E-mail ID:
 - vi. Telephone Number: In USA: In Bangladesh: +880-

I, on behalf of the Entity, hereby confirms the information provided above is true, accurate and complete.
Subject to applicable local laws, I hereby consent for Meghna Bank Securities Ltd. or any of its affiliates (including branches) (collectively "MGBSL") to share the above information with domestic or overseas regulators or tax authorities where necessary to establish tax liability of the Entity in any jurisdiction.
Where required by domestic or overseas regulators or tax authorities, I consent and agree that "MGBSL" may withhold from the Entity Account(s) such amounts as may be required according to applicable laws, regulations and directives.
I undertake to notify "MGBSL" within 30 calendar days if there is a change in the above information which I have provided to "MGBSL".

Date:

Signature

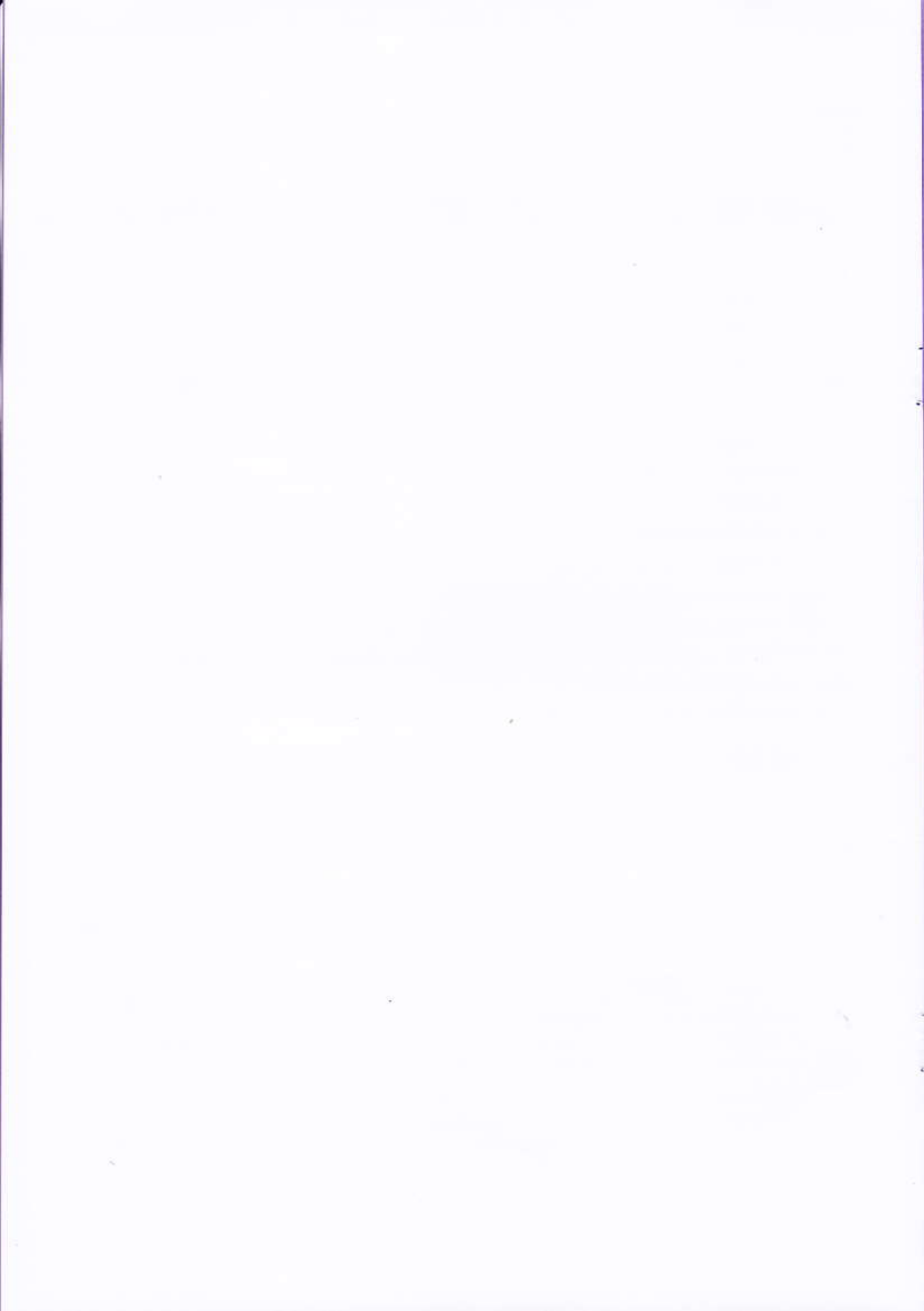
Name
Title & Position of the Signatory with Stamp
On behalf of the Entity

Signature

Name
Title & Position of the Signatory with Stamp
On behalf of the Entity

Signature

Name
Title & Position of the Signatory with Stamp
On behalf of the Entity



CLIENT ORDER SLIP

From :

Accounts No.

BO ID No. 1 2 0 2 2 2 0 0

Dear Sir/Madam,

Date:

Please execute the following order(s) on behalf

Time:

Name of Instruments	Buy/Sell	Quantity	Price (Tk.)

Signature of the A/C Holder

Signature of the Joint A/C Holder

Authorised Representative

SIGNATURE CARD

Room # 626 (5th Floor), 9/E Motijheel C/A, Dhaka-1000
DSE TREC No. 124, BSEC Reg. No. 3.1/DSE- 124/ 2015/ 537

Date:

Customer Accounts No.

BO ID No. 1 2 0 2 2 2 0 0

Name of the Account Holder :

Name of the Joint Account Holder :

Name of the Authorised Person :

Mode of operation or mandate		
Particulars	Full Name (In Block Letter)	Singature
First Account Holder		
Second Account Holder		
3rd Applicant (Ltd. Co. Only)		
Authorised Person		

Please complete all details in CAPITAL letters. Please fill all names correctly. All communication shall be sent only to the First Name Account Holder correspondence address.

01. Transfer Details

Date:

Exchange ID BO ID No. 1 2 0 2 2 2 0 0

BO Name :

ISIN	Issuer Company	Pay in Quantity
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

02. Transfer Details

Trading ID/Broker Code Name of Broker: MGBSL

03. Declaration

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of this transaction, I/we further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of applicants/Authorized Signatories in case of Ltd. Co.	Signature with date
First Applicant	<input type="text"/>	<input type="text"/>
Second Applicant	<input type="text"/>	<input type="text"/>
Third Applicant (Ltd. Co. Only)	<input type="text"/>	<input type="text"/>
POA Holder	<input type="text"/>	<input type="text"/>

04. To be filled by the DP

BO ID (Broker Clearing A/C)

Code No. *DP ID 2 2 2 0 0 Pay in Quantity :

The Pay in Quantity has successfully been transferred to the broker's clearing A/C

Name of the CDBL Participant: **MEGHNA BANK SECURITIES LIMITED** DP Signature

Setup Date

* These fields should be checked and matched with system-generated information.



Room # 626 (5th Floor), 9/E Motijheel C/A, Dhaka-1000
Tel: +88 02 9576159, Fax: +88 02 9576159
Email: info@meghnabanksecurities.com.bd

DSE TREC No. 124, BSEC Reg. NO. 3.1/DSE- 124/ 2015/ 537

MEGHNA BANK 
SECURITIES LIMITED